# Office of Federal Programs
# Compliance Risk Factors and Scores (FY21)

1. New federal program coordinator at the district level, or the listed Federal Programs Coordinator is also listed as the Superintendent or Treasurer.

| | |
|---|---|
| **10** | Less than 1 year or contact information not provided on CCIP Consolidated Application - Contacts page |
| **5** | 1 to 3 years, or Federal Programs Coordinator is also listed as Superintendent or Treasurer. |
| **0** | 4 to 5 years |
| **-5** | 6+ years |

2. Noncompliance in ESEA programs for the preceding year.
(Applied only to the previously monitored districts):

| | |
|---|---|
| **15** | Above 9 |
| **10** | 3-9 |
| **5** | 1-3 |
| **-5** | No findings |

3. Failed FY19 ESEA Maintenance of Effort: **5**

4. Districts identified as:

| | |
|---|---|
| **10** | ADC or Intensive |
| **5** | Moderate D/F |
| **0** | Moderate or Moderate C |
| **-5** | Independent |

5. Community schools identified as:

| | |
|---|---|
| **10** | Priority |
| **5** | Focus |
| **0** | No identification – schools less than 3 years in existence |
| **-5** | No identification – schools at least 3 years in existence |

6. District's size of the total ESEA award:

| | |
|---|---|
| **15** | >$5,000,000 |
| **10** | $1,000,000 to $5,000,000 |
| **5** | $250,000 to $1,000,000 |
| **0** | <$250,000 |

7. Compliance Surveys: Tier 1 districts – Self Survey Questions Completed as of June 30th (FY21 Only). Tier 2 and 3 districts participated in Desk review or Onsite review.

| | |
|---|---|
| **20** | Not Completed |
| **0** | Not required (LEA does not accept any ESEA grants) |
| **-5** | Completed |

8. Large carryovers (more than 15 percent in Title I and more than 30 percent in other ESEA grants - FY19 to FY20):

| | |
|---|---|
| **15** | Large carryover in three or more grants |
| **10** | Large carryover in two grants |
| **5** | Large carryover in one grant |
| **0** | LEAs with the Title I-A allocation below $50,000 and II-A allocation below $10,000 |
| **-5** | No large carryover |

9. Expired ESEA funds:

| | |
|---|---|
| **15** | Expired funds in three or more grants |
| **10** | Expired funds in two grants |
| **5** | Expired funds in one grant |
| **0** | No expired funds |

10. District delayed previous year submission of Consolidated Application:

| | |
|---|---|
| **10** | Consolidated application submitted after 8/31 |
| **5** | Consolidated application submitted between 7/2 – 8/31 |
| **-5** | Consolidated application submitted as of July 1 |

11. Prior monitoring of the district:

| | |
|---|---|
| **10** | Not monitored through onsite or desk review in the prior 2 years |
| **5** | Monitored once through desk review in the prior 2 years |
| **0** | Monitored once through onsite in the prior 2 years |

12. District shows incomplete Nonpublic Data System participation forms (Nonpublic Approved status).

| | |
|---|---|
| **5** | Not Completed |
| **0** | Completed or Not Applicable |

13. District's duration of existence and operation:

| | |
|---|---|
| **10** | Less than 2 years in existence |
| **5** | More than 2 but less than 5 years in existence |
| **0** | More than 5 years in existence |

14. District received an A-133 single independent audit (based upon latest available data).

| | |
|---|---|
| **5** | No |
| **0** | Yes |

15. The district was required to demonstrate Comparability and used:re

| | |
|---|---|
| **10** | Self-Reported data in prior two fiscal years |
| **5** | Self-Reported data in prior fiscal year |
| **0** | District reported with EMIS data in prior fiscal year, or district was not required to demonstrate comparability |

16. District Submitted Project Cash Requests:

| | |
|---|---|
| **10** | The district submitted one Project Cash Requests during the fiscal year |
| **5** | The district submitted Project Cash Requests two or three times during the fiscal year |
| **0** | District submitted Project Cash Requests at least 4 times during the fiscal year |

17. Large nonpublic carryovers (more than 15 percent in Title I and more than 30 percent in other ESEA grants - FY19 to FY20):

:

| | |
|---|---|
| **15** | Large nonpublic carryover in three or more grants |
| **10** | Large nonpublic carryover in two grants |
| **5** | Large nonpublic carryover in one grant |
| **0** | LEAs with the Title I-A allocation below $50,000 and II-A allocation below $10,000, or LEAs that have no nonpublic equitable service requirement |
| **-5** | No large nonpublic carryover |

*Special Requests: Districts can be selected based on a request from the district or Ohio Department of Education determination.