Office of Federal Programs
Compliance Risk Factors and Scores (FY23)

1. New federal program coordinator at the district level, or the listed Federal Programs Coordinator is also listed as the Superintendent or Treasurer.

10	Less than 1 year or contact information not provided on CCIP Consolidated Application - Contacts page
5	1 to 3 years, or Federal Programs Coordinator is also listed as Superintendent or Treasurer.
0	4 to 5 years
-5	6+ years

2. Noncompliance in ESEA programs for the preceding year.
(Applied only to the previously monitored districts, based on number of monitoring issues).

15	Above 9
10	3-9
5	1-3
-5	No findings

3. Failed FY21 ESEA Maintenance of Effort: **5**

4. Districts identified as:

10	ADC or Intensive
5	Moderate D/F
0	Moderate or Moderate C
-5	Independent

5. Community schools identified as:

10	Priority
5	Focus
0	No identification – schools less than 3 years in existence
-5	No identification – schools at least 3 years in existence

6. District's size of the total ESEA award:

15	>$5,000,000
10	$1,000,000 to $5,000,000
5	$250,000 to $1,000,000
0	<$250,000

7. Compliance Surveys: Tier 1 districts – Self-Survey Questions Completed as of June 30th. Tier 2 and 3 districts participated in Desk review or Onsite review.

20	Not Completed
0	Not required (LEA does not accept any ESEA grants)
-5	Completed

8. Large carryovers (more than 15 percent in Title I and more than 30 percent in other ESEA grants – FY21 to FY22):

15	Large carryover in three or more grants
10	Large carryover in two grants
5	Large carryover in one grant
0	LEAs with the Title I-A allocation below $50,000 and II-A allocation below $10,000
-5	No large carryover

9. Expired ESEA funds:

15	Expired funds in three or more grants
10	Expired funds in two grants
5	Expired funds in one grant
0	No expired funds

10. District delayed previous year submission of Consolidated Application:

10	Consolidated application submitted after 7/31
5	Consolidated application submitted between 7/8 – 7/31
0	Consolidated application submitted between 7/2-7/7
-5	Consolidated application submitted as of July 1

11. Prior monitoring of the district:

10	Not monitored through onsite or desk review in the prior 2 years
5	Monitored once through desk review in the prior 2 years
0	Monitored once through onsite in the prior 2 years

12. District's duration of existence and operation:

10	Less than 2 years in existence
5	More than 2 but less than 5 years in existence
0	More than 5 years in existence

13. District received an A-133 single independent audit (based upon latest available data).

5	No
0	Yes

14. The district was required to demonstrate Comparability and used:

10	Self-Reported data in prior two fiscal years
5	Self-Reported data in prior fiscal year
0	District reported with EMIS data in prior fiscal year, or district was not required to demonstrate comparability

15. District Submitted Project Cash Requests:

10	The district submitted one Project Cash Requests during the fiscal year
5	The district submitted Project Cash Requests two or three times during the fiscal year
0	District submitted Project Cash Requests at least 4 times during the fiscal year
-5	District submitted Project Cash Requests at least 8 times during the fiscal year

16. Large nonpublic carryovers (more than 15 percent in Title I and more than 30 percent in other ESEA grants – FY21 to FY22):

15	Large nonpublic carryover in three or more grants
10	Large nonpublic carryover in two grants
5	Large nonpublic carryover in one grant
0	LEAs with the Title I-A allocation below $50,000 and II-A allocation below $10,000, or LEAs that have no nonpublic equitable service requirement
-5	No large nonpublic carryover

17. LEAs not spending/providing equitable services to nonpublics (data from FY21 to FY22):

10	LEAs with 75%-100% unspent non-public allocated funds.
5	LEAs with 25%-75% unspent non-public allocated funds.
0	Community Schools, LEAs between 5%-25% unspent non-public allocated funds, LEAs with negative unspent non-public allocated funds.
-5	LEAs with 0%-5%% of unspent non-public allocated funds.

*Special Requests: Districts can be selected based on a request from the district or Ohio Department of Education determination.