FY24 School Improvement Grants (SIG)



Monitoring Technical Guidance

December 2023





Department of Education & Workforce

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School Improvement Grants Monitoring

Purpose

The Office of School and District Improvement's (OSDI) School Improvement Grants monitoring process engages Local Education Agencies (LEAs) and OSDI staff in a series of monitoring activities including, but not limited to, completion of a monitoring survey, a survey review with scoring rubric by OSDI, and, for specific grantees, an on-site or virtual meeting with OSDI. These activities ensure that the LEA has implemented effective programming that meets the intent and purpose of the grant. The survey questions are designed for reflection and analysis by the LEA for grant programming and funding for the 2022-2023 school year (Fiscal Year 2023).

Grant Monitoring Risk Factors

OSDI has established a set of risk factors that identify the grantees for participation in the monitoring process. The identified LEAs will complete the Monitoring Survey for those selected LEAs and associated buildings that receive the School Quality Improvement Grant (SQIG) or Expanding Opportunities for Each Child DSS (EOEC DSS) grant. LEAs that are identified for monitoring must complete certain aspects of the monitoring process. Failure to do so may result in being selected for a future desk review or on-site review. The risk factors for each grant can be found below.

SCHOOL QUALITY IMPROVEMENT GRANT (SQIG) RISK FACTORS:

Risk Factor 1 – Amount of carryover into FY23 from previous fiscal year(s)
Risk Factor 2a – Completion of Mandatory Monitoring Survey in FY22
Risk Factor 2b – Completion of Self-Survey in FY22
Risk Factor 3 – FY23 SQIG Application Submission Date
Risk Factor 4 – FY23 SQIG PCR Submission Date(s)

EXPANDING OPPORTUNITIES FOR EACH CHILD (EOEC) DSS GRANT RISK FACTORS:

Risk Factor 1 – Tenure of Grant Staff
Risk Factor 2 – Amount of carryover or extension of FY22 Funds
Risk Factor 3 – Completion of Mandatory Monitoring Survey in FY22
Risk Factor 4 – Completion of Self-Survey in FY22
Risk Factor 5 – Building Identification
Risk Factor 6 – FY23 EOEC DSS PCR Submission Date(s)

SQIG and EOEC DSS Tiers of Monitoring

The monitoring risk factor calculation results in grantees falling into one of three monitoring tiers. There are specific monitoring activities that are associated with each monitoring tier.

TIER THREE: ON-SITE REVIEW

OSDI uses a rubric to evaluate and score the survey responses, then conducts a visit to the LEA. During this visit, the grantee has an opportunity to discuss the survey responses and share evidence, such as data from interviews with staff or building walkthroughs, that support the grantee monitoring survey responses. When all monitoring activities have been completed, the survey will be closed and marked 'completed' by OSDI staff in the monitoring system. OSDI staff will then send correspondence to the grantee that all monitoring activities have been completed. The grantee completes and submits the Monitoring Survey by the deadline of February 29, 2024.

Example: An LEA could be identified for on-site monitoring review if: (1) PCRs are not submitted in a timely manner throughout the fiscal year, (2) awarded grant funds are not completely allocated or not spent according to the original grant application, and (3) the LEA experienced transitions within their district grant staff.

Survey questions and rubric information for both SQIG and EOEC DSS grants can be found in Appendix A and Appendix B, respectively.

TIER TWO: DESK REVIEW/VIRTUAL DESK REVIEW

OSDI staff uses a rubric to review and score monitoring survey responses. OSDI grant staff reviews survey responses, supporting documentation and provides feedback on submitted information. The monitoring survey is closed out by OSDI when the desk review is complete. When all monitoring activities have been met, the survey will be closed and marked 'completed' by OSDI staff. OSDI staff will send correspondence to the grantee that all monitoring activities have been completed. The grantee completes and submits the monitoring survey that is in the monitoring application by the deadline of February 29, 2024.

Survey questions and rubric information for both SQIG and EOEC DSS grants can be found in Appendix A and Appendix B, respectively.

TIER ONE: SELF-SURVEY

The grantee may complete the Monitoring Survey. The grantee should reflect on programming activities and outcomes. The grantee is not required to complete the

self-survey and should not upload supporting documentation. OSDI staff will not score the survey. OSDI staff will close the Self Survey at the end of the monitoring cycle.

Timeline and Feedback

1. **February 29, 2024**: Mandatory grantees must submit their survey in the Monitoring application.
2. **May 3, 2024**: OSDI staff will provide any feedback by this date.
3. **June 30, 2024**: Self-survey grantees may submit surveys by this date.
4. **July 30, 2024:** Grant closeout communicated to grantee.

Accessing the Monitoring Survey

The OH|ID portal can be accessed by clicking the "Login" link at the top of the Department's homepage or by going to the OH|ID portal directly at Log In | OH|ID | Ohio's State Digital Identity Standard. A valid OH|ID account recognizes the person or role who will access, complete, and submit the monitoring survey. This role is established and assigned by the LEA in the Ohio Educational Directory System (OEDS). LEA staff can find additional information about establishing an OH|ID portal account on this this guide.

Typical LEA roles are listed below:

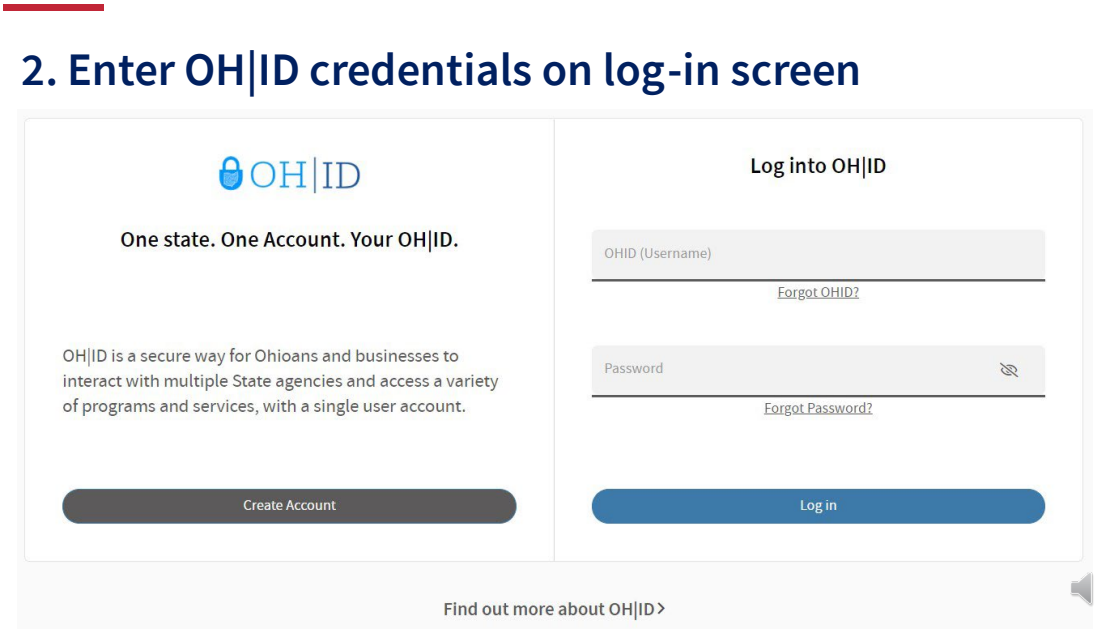
- Superintendent
  a. Treasurer
  b. CCIP Authorized Representative
  c. CCIP Fiscal Representative
  d. Superintendent Designee
  e. Data Entry Planning-CCIP
  f. Data Entry Funding-CCIP
- Data Entry-Compliance

Below is a step-by-step guide for accessing the FY24 School Improvement Grants survey in the Monitoring Application.

Step One: Access the monitoring application from the Department of Education and Workforce website login page

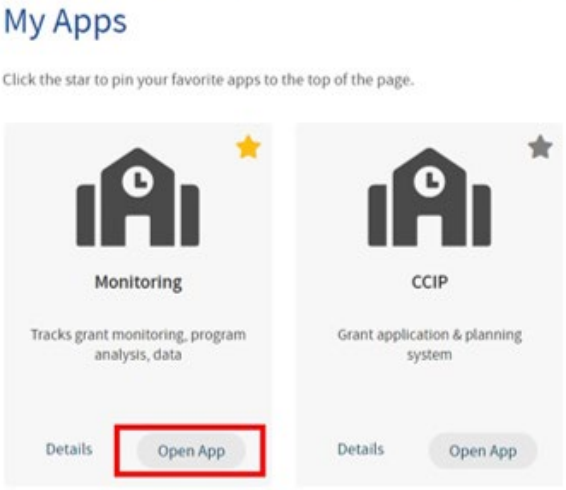


Step Two: Enter log in credentials on this DEW Log-in screen



Step Three: Click on the Monitoring Tile on the ‘my Apps’ Menu

3. Open the monitoring App from the ‘my Apps’ icon



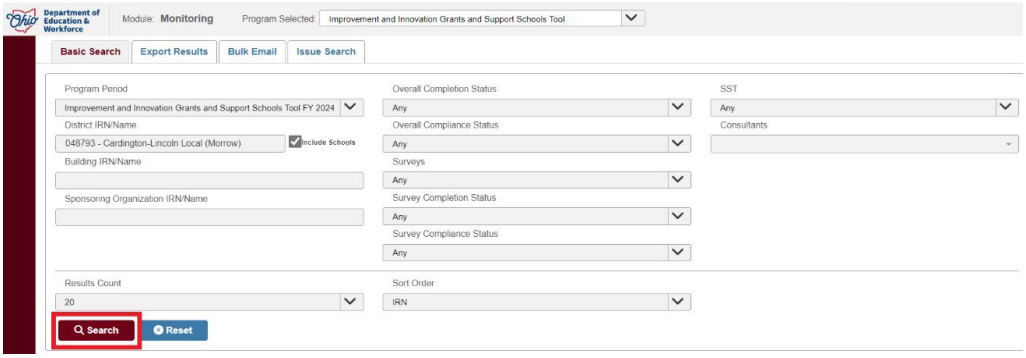
Step Four: This will open the Monitoring Surveys associated with the District or LEA

4. Choose the ‘Program associated To”



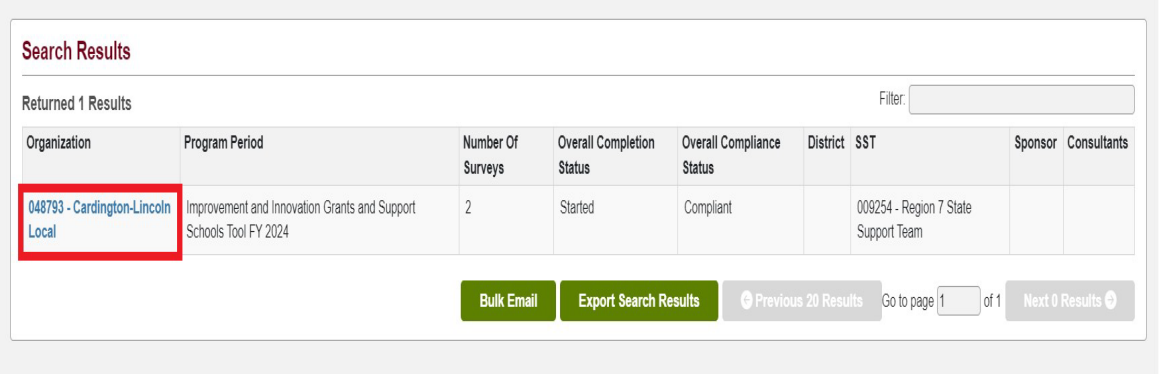
Step Five: Perform the search by selecting the program period FY23 and entering the LEA (District) IRN #. LEA's with more than one identified building will complete ONE monitoring survey at the district level.

5. Search for Program Period and District IRN



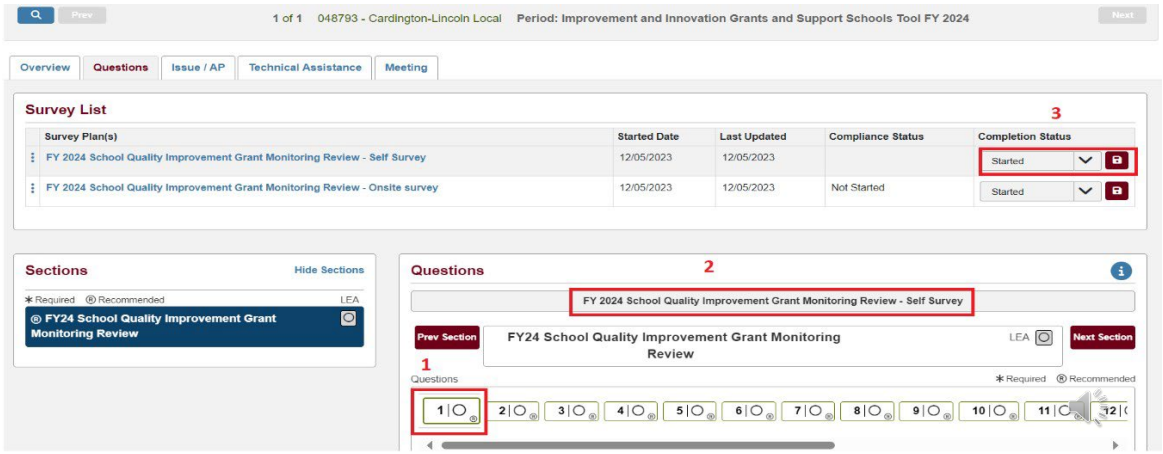
Step Six: Click the blue LEA name on the next screen to gain access to the monitoring survey.

6. Select your Organization



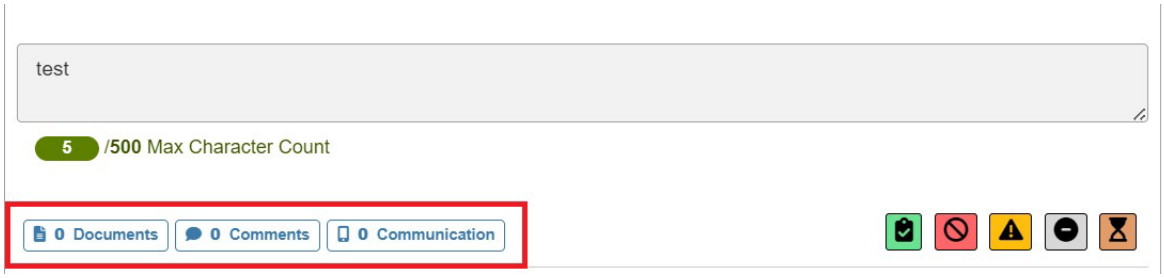
Step Seven: This screen displays the monitoring survey (1) for the questions in the survey, (2) the name of the survey, and (3) the status of the survey. The question icons will turn green when completed and status is saved with the real-time updates.

7. Start the Monitoring Survey



Step Eight: Documents or Comments can be included by clicking the respective icon.

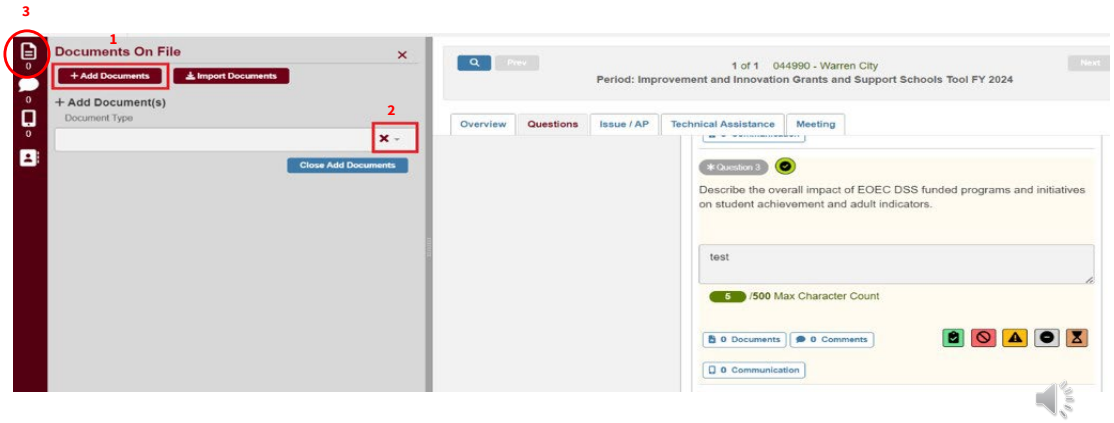
8. Upload Documents and enter Comments



Department of Education & Workforce

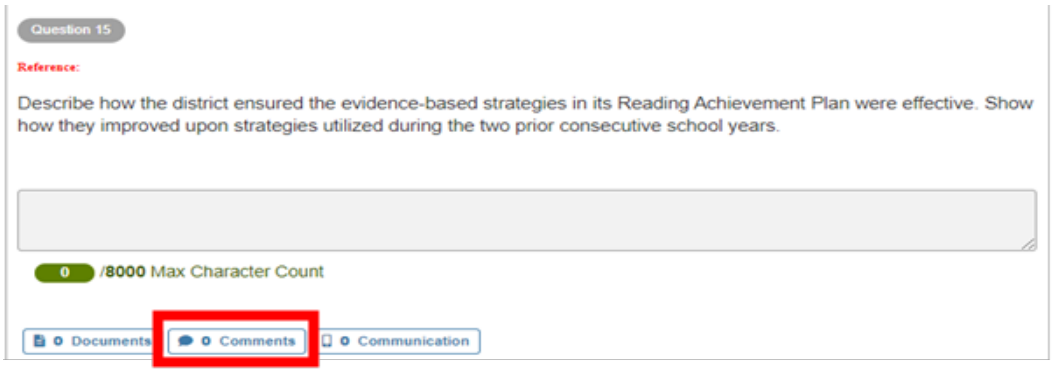
Step Nine: Click Documents or Comments at the bottom of the question and a window will appear on the left of the screen. Follow the prompts on this screen to upload documents and provide a brief description. Please note: LEAs cannot delete documents once they've been uploaded. However, the DEW SIG team can delete any document that is uploaded in error or contains personal identifiable information like names, emails, email addresses, etc.

9. Upload documents



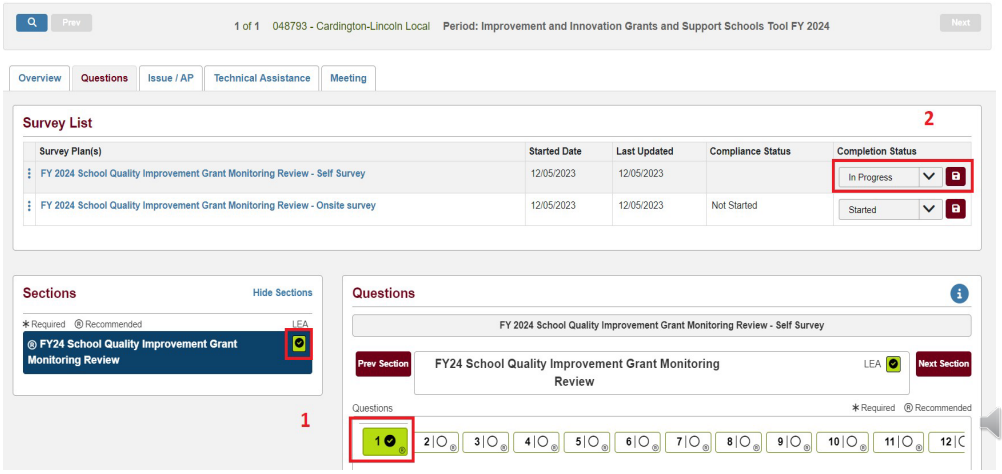
Step Ten: Click the Comments icon to display the screen (below) and enter text such as the description of an uploaded document.

10. Upload Comments



Step Eleven: (1) The survey questions change to green when the questions are complete. (2) The person completing the survey will change the survey to 'submitted'. This will alert the DEW SIG staff that the survey is complete and ready for review.

11. Complete and Submit the Monitoring Survey



Step Twelve: The survey error will result when a grantee attempts to submit an incomplete survey.

12. Monitoring Survey Error



Department Feedback

The Office of School and District Improvement (OSDI) staff will review the required monitoring surveys, supporting documents, and comments, and then respond with the following feedback:



N/A – No survey response is required or is not evaluated.

Compliant – Survey response meets monitoring requirements.

Technical Assistance – Survey response includes items like questionable expenses, budgeted and expended amounts are inconsistent, improper documentation is provided, or the answer is incomplete or inconsistent with monitoring requirements.

Pending – Survey response requires additional information or revised information is required to meet the monitoring requirements as requested from OSDI.

Non-Compliant – Survey response does not meet the monitoring requirements, such as reporting unallowable expenses.

Appendix A: SQIG Monitoring Survey and Scoring Rubrics

This rubric will be used for the review of the FY24 SQIG Monitoring Survey. OSDI will document responses and mark each question as Compliant, Non-Compliant, Technical Assist, Pending or N/A in the LEA's survey. Questions marked with an asterisk indicate a required question. Questions marked with (R) are recommended questions.

Survey Rubric Key: All questions in the survey will be scored according to the following criteria.

Compliant	Technical Assist	Non-Compliant	Pending	Not Applicable
The district response demonstrates that the requirement was met. For evaluation-type questions, the grantee provided the requested information.	The district response demonstrates that the requirement was not met. The Department will provide recommendations for process improvements, incorrect or unallowable information was provided, or not enough information was provided to evaluate the completeness of the question.	The district response demonstrates that the requirement was not met. The Department will identify the issue and make recommendations to address it. The grantee must address the issue. This may include the grantee creating an action plan.	The district response demonstrates that the requirement was not met. The Department is requesting additional or missing information to complete the review process. The Department will provide a deadline to provide information. If not met, it will be marked as Technical Assistance.	This question does not apply to the district/school, or the question is not evaluated.

SQIG Monitoring Survey and Scoring Rubrics 1

Instructions

Reference: Provide answers to the questions in this survey based on the funds and programming for the School Quality Improvement Grant for the entire **Fiscal Year 2023 (School Year 2022-2023)**. Do **NOT** upload any personal information that can identify students and/or teachers. Please email any questions to School_Improvement@education.ohio.gov.

***Question 1:** In preparation for the grant close-out process, the district or community school has reviewed the original application(s) and close-out documentation.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged review of the original application and close-out documentation.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 2

Program Evaluation

Please respond to the following questions as part of the program evaluation and closeout for the entire Fiscal Year 2023 (FY23). Additional documentation may be requested for some responses to be considered complete.

***Question 2:** Describe the assistance and monitoring provided by the district (or community school sponsor/management company) around the implementation of approved School Quality Improvement Grant (SQIG) funding applications for FY23 (July 1, 2022 – June 30, 2023 [or if a summer extension was approved, through September 30, 2023]).

Please be specific on the types of assistance and monitoring activities that occurred and include the timeframes for how often they occurred.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided a well thought out description and explanation of assistance and monitoring that the district provides to each SQIG building. The district completed a minimum of quarterly checks (recommended) on building activities and spending progress (monthly check-ins are preferred). The district provided a high-level description of district check-ins.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 3

***Question 3:** From the **district (or community school sponsor/management company) perspective**, describe the overall impact of SQIG-funded work on **both** student achievement and adult indicators.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided a well thought out description and explanation of the overall impact of SQIG-funded work on **both** student achievement and adult indicators, from the **district's perspective**.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 4

***Question 4:** From each **building's perspective** that received SQIG funding, describe the outcome of **both** student achievement and adult indicators.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided a well thought out description and explanation of overall impact of SQIG-funded work on **both** student achievement and adult indicators, from **each building's perspective**.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 5

***Question 5:** Please upload evidence of the impact of SQIG funding from each building and provide a brief explanation of the impact for each piece of evidence provided. Do **NOT** upload any personal information that can identify students or teachers.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided a well thought out description and explanation of the impact on each building. The districts explanations aligned with uploaded documentation. The district uploaded documentation for **BOTH** student and adult outcomes for EACH SQIG building. No identifiable information was provided.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 6

***Question 6:** Choose and describe the level of **School Quality Improvement Grant** programming implementation. Why was implementation successful, partially successful, or not successful at each building?

Compliant	Technical Assist	Non-Compliant	Pending
The district clearly described the implementation status for EACH building. The district provided a well thought out description of the why behind EACH implementation status.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 7

***Question 7:** Please identify which evidence-based strategy(s) were used at each building that received SQIG funding and describe their effectiveness. If the evidence-based strategy was changed or modified, please explain why and which evidence-based strategy was chosen instead.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided a clear description of implementation of evidence-based strategy(s) and their effectiveness for EACH building. The district provided a clear description of any modifications made to the evidence-based strategy(s) and includes an explanation for the modification.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 8

***Question 8:** Identify the type(s) of stakeholders that the district (and schools) communicated with and engaged with throughout the program initiative. Select all that apply. **Explanation is needed for all chosen stakeholders and Other.**

- Parents
- Community Organizations
- Local Business Organizations
- District Leadership Team
- Building Leadership Team (including principals)
- Teacher Leadership Team
- Student Organizations
- Other: Please explain

Compliant	Technical Assist	Non-Compliant	Pending
The district selected all relevant stakeholders. The district provided an explanation for stakeholder's selection.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 9

***Question 9:** For each SQIG-funded building, provide supporting evidence of professional development on supporting the needs of staff in alignment with SQIG funding.

a. Provide information on professional development by uploading agendas and any other supporting information including the percentage of teaching staff and administration who attended.
b. Provide and describe relevant student data, effective teacher data and any other relevant data that demonstrates the effectiveness and outcomes of professional development.

Do **NOT** upload any personal information that can identify students and/or teachers.
If grant funds were not used for professional development, please input N/A into the textbox.

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded evidence PD was supplied for EACH applicable building. The district provided relevant student data/teacher data/etc. provided. The district provided a well thought out description is provided for the outcomes of the PD. No personal information was uploaded.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 20

***Question 10:** Please upload evidence that building adult implementation measures data were routinely evaluated.

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded evaluation plan for regular collection and analysis of adult measures.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 31

***Question 11:** Please upload evidence that building student progress measure data were routinely evaluated.

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded evaluation plan for regular collection and analysis of student measures.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 42

***Question 12:** The district has created a system for establishing the work time and payment estimates for reasonable approximations of the activity performed, including a system of internal controls to ensure that the final amount charged to the Federal award is accurate, allowable, and properly allocated.
Documentation includes work schedules to reconcile with expenditures reported and the total number of hours worked each day.

a. Time and effort logs for employees working on multiple cost objectives.
b. Provide teacher and paraprofessional schedules, when requested.
c. Provide job descriptions, when requested.

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded personnel work plans, teacher and paraprofessional schedules and job descriptions, if requested.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 53

Program Fiscal Requirements

***Question 13:** The LEA assures that funds expended are allowable under the fund and meet the intent and purpose of the fund. Provide building-level fund fiscal reports for the full FY23 (July 1, 2022 – June 30, 2023 [or if a summer extension was approved, September 30, 2023]) showing budgeted allocations and expenditures sorted by building (OPU), Object, and Function, such as Budsums.
Acknowledge proper documentation has been uploaded.

Compliant	Technical Assist	Non-Compliant	Pending
The district provided all relevant fiscal documentation for EACH building.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 14

***Question 14:** In the grant application, the subgrantee assured to accept funds in accordance with applicable Federal and State statutes, regulations, program plans, and applications, and administer the programs in compliance with all provisions of such statutes, regulations, applications, policies, and amendments thereto. This includes EDGAR and Uniform Grant Guidance 2 C.F.R 200.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged assurances.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 65

Grant Closeout

***Question 15:** The subgrantee has a current, accurate physical inventory/fixed assets policy in place. **Document upload is required if equipment and/or supplies are purchased.**

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded documentation of an accurate inventory. No issues were identified on documentation.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 76

***Question 16:** The subgrantee disposes of equipment and supplies in accordance with district policy and federal requirements including Uniform Guidance, 2 CFR Part 200.313. **Upload documentation of disposition form.**

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded a disposition form. No issues were identified on documentation.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 87

***Question 17:** The district has documented procurement procedures for the acquisition of property or services. Procurement procedures conform to the procurement standards identified in CFR 200.317 through 200.327.

a. District Procurement Policy with most recent review date.
b. The district has a definition of equipment or follows the federal definition.
c. District maintains a Disposition Report for lost, obsolete or unusable equipment, if applicable.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged use of documented procurement procedures and maintenance of a disposition report.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 98

Question 18: An inventory review, if applicable, is completed at least once every two years along with a control system to ensure adequate safeguards for preventing lost, damage, or theft, including date(s) performed.

a. Inventory of any supplies or equipment purchased with federal funds that have a high likelihood of being lost or stolen. Inventory should include fund purchased with, location of item, serial number, model number, tag number, and date of purchase. Items inventoried should be tagged and identified with fund purchased from.
b. Acknowledge review of guidance.
c. Acknowledge District regularly completes an inventory review.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged regular completion of an inventory review.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

SQIG Monitoring Survey and Scoring Rubrics 109

Multi-Year Grant Review

(R)**Question 19:** Provide any feedback regarding the multi-year process. Consider the following questions when preparing feedback. Was the multi-year format helpful? What benefits and barriers were encountered with this grant format?

Not Applicable – This is optional question

APPENDIX B – EOEC DSS Survey Questions and Rubrics

This rubric will be used for the review of the FY24 EOEC DSS Monitoring Survey. OSDI will document responses and mark each question as Compliant, Non-Compliant, Technical Assist, Pending or N/A in the LEA's survey. Questions marked with an asterisk indicate a required question.

Survey Rubric Key: All questions in the survey will be scored according to the following criteria.

Compliant	Technical Assist	Non-Compliant	Pending	Not Applicable
The district response demonstrates that the requirement was met. For evaluation-type questions, the grantee provided the requested information.	The district response demonstrates that the requirement was not met. The Department will provide recommendations for process improvements, incorrect or unallowable information was provided, or not enough information was provided to evaluate the completeness of the question.	The district response demonstrates that the requirement was not met. The Department will identify the issue and make recommendations to address it. The grantee must address the issue. This may include the grantee creating an action plan.	The district response demonstrates that the requirement was not met. The Department is requesting additional or missing information to complete the review process. The Department will provide a deadline to provide information. If not met, it will be marked as Technical Assistance.	This question does not apply to the district/school, or the question is not evaluated.

Instructions

Reference: Provide answers to the questions in this survey based on the funds and programming for the Expanding Opportunities for Each Child Grant for the entire **Fiscal Year 2023 (School Year 2022-2023).** Please email any questions to eoecgrant@education.ohio.gov.

***Question 1:** The district or community school has reviewed the original application and Grant close-out documentation in preparation for the review process.

Compliant	Pending	Technical Assistance	Non-Compliant
The response meets the grant monitoring criteria.	The response could meet the grant monitoring criteria if additional information and/or documentation can be provided.	The response is could not be scored and could meet grant monitoring criteria with additional support from the OSDI staff.	The response does not meet grant monitoring criteria because it does not answer the question.

EOEC DSS Survey Questions and Rubrics 2

Program Evaluation

***Question 2:** Describe any assistance and monitoring provided by the LEA for the FY23 Expanding Opportunities for Each Child Grant (EOEC) DSS funding application.

Compliant	Pending	Technical Assistance	Non-Compliant
The grant assistance and monitoring described includes pertinent information such as BLT/DLT minutes, dates, and designated personnel.	The grant assistance and monitoring described could additional information and/or documentation such as BLT/DLT minutes, dates, and designated personnel.	The grant assistance and monitoring described could meet grant monitoring criteria with additional support from the OSDI staff.	The grant assistance and monitoring described does not meet grant monitoring criteria because it does not answer the question.

EOEC DSS Survey Questions and Rubrics 3

***Question 3:** Describe the overall impact of EOEC DSS funded programs and initiatives on student achievement and adult indicators.

Compliant	Pending	Technical Assistance	Non-Compliant
The overall impact of EOEC DSS funded programs and initiatives on student achievement and adult indicators meets the grant monitoring criteria.	The overall impact of EOEC DSS funded programs and initiatives on student achievement and adult indicators could meet grant monitoring criteria if additional information and/or documentation can be provided.	The overall impact of EOEC DSS funded programs and initiatives on student achievement and adult indicators could meet grant monitoring criteria with additional support from the OSDI staff.	The overall impact of EOEC DSS funded programs and initiatives on student achievement and adult indicators does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 4

***Question 4:** Provide a description of the EOEC DSS funding and alignment to the LEA performance goals and One Plan. Upload required - *Do NOT upload personal information that can identify LEA students or staff*

Compliant	Pending	Technical Assistance	Non-Compliant
The EOEC DSS funding is aligned with LEA performance goals, One Plan and meets the grant monitoring criteria.	The EOEC DSS funding and alignment with LEA performance goals and One Plan and could meet grant monitoring criteria if additional information and/or documentation can be provided.	The EOEC DSS funding and alignment with LEA performance goals and One Plan and could meet grant monitoring criteria with additional support from the OSDI staff.	The EOEC DSS funding and alignment with LEA performance goals and One Plan does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 5

Question 5: Choose and describe the level of EOEC DSS programming implementation.

a. Upload evidence of a Root Cause Analysis that was conducted by the LEA if the program implementation was unsuccessful in improving student academic outcomes.

b. Upload the LEA's plan to address unsuccessful practices and at least TWO strategies that will be implemented to support modified or new practices.

Compliant	Pending	Technical Assistance	Non-Compliant
The EOEC DSS program implementation level description meets the grant monitoring criteria. The Root Cause Analysis is not required Or The Root Cause Analysis meets the grant monitoring criteria. The two strategies provided meet the grant monitoring criteria.	The EOEC DSS program implementation level description could meet grant monitoring criteria if additional information and/or documentation can be provided. The Root Cause Analysis could meet grant monitoring criteria if additional information and/or documentation can be provided. The two strategies provided could meet grant monitoring criteria if additional information and/or documentation can be provided.	The EOEC DSS program implementation level description could meet grant monitoring criteria with additional support from the OSDI staff. The Root Cause Analysis could meet grant monitoring criteria with additional support from the OSDI staff. The two strategies provided could meet grant monitoring criteria with additional support from the OSDI staff.	The EOEC DSS program implementation level description does not meet the grant monitoring criteria. The Root Cause Analysis does not meet the grant monitoring criteria. The two strategies provided do not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 6

***Question 6:** Were grant funded programming activities monitored by district staff (or community school sponsor or management company) throughout program implementation?

Compliant	Pending	Technical Assistance	Non-Compliant
The response provided meet the grant monitoring criteria.	N/A	N/A	The response provided does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 7

***Question 7:** What improvements to student academic outcomes (or anticipated outcomes) can be contributed to the EOEC DSS grant?

Compliant	Pending	Technical Assistance	Non-Compliant
The improvements to student academic outcomes (or anticipated outcomes) described meet the grant monitoring criteria.	The improvements to student academic outcomes (or anticipated outcomes) described could meet grant monitoring criteria if additional information and/or documentation can be provided.	The improvements to student academic outcomes (or anticipated outcomes) described could meet grant monitoring criteria with additional support from the OSDI staff.	The improvements to student academic outcomes (or anticipated outcomes) described do not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 8

***Question 8:** Upload evidence of improved student academic outcomes that were funded by the EOEC Grant. Examples of evidence can include any of the following:

- Enrollment data that lead to industry-recognized credentials
- Enrollment in AP/IB courses
- AP/IB or college course credits
- Credit recovery
- Personalized Learning Program

Compliant	Pending	Technical Assistance	Non-Compliant
The evidence of improved student academic outcomes funded by the EOEC grant meet the grant monitoring criteria.	The evidence of improved student academic outcomes funded by the EOEC grant could meet grant monitoring criteria if additional information and/or documentation can be provided.	The evidence of improved student academic outcomes funded by the EOEC could meet grant monitoring criteria with additional support from the OSDI staff.	The evidence of improved student academic outcomes funded by the EOEC grant improvements to student academic outcomes (or anticipated outcomes) does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 9

***Question 9:** Did the LEA publicly report the results of EOEC direct student service providers on the improvement of student outcomes in a manner that is accessible and timely for parents to make an informed choice?

Compliant	Pending	Technical Assistance	Non-Compliant
The response provided meet the grant monitoring criteria.	N/A	N/A	The response provided does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 120

Program Fiscal Requirements

***Question 10:** Did the LEA allocate 1% of the grant award for outreach and communication to parents about available direct student services provided with the EOEC funds?

Compliant	Pending	Technical Assistance	Non-Compliant
The response provided meet the grant monitoring criteria.	**N/A**	**N/A**	The response provided does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 131

***Question 11:** Did the LEA allocate no more than 2% of the grant award for administrative costs related to direct student services.

Compliant	Pending	Technical Assistance	Non-Compliant
The response provided meet the grant monitoring criteria.	N/A	N/A	The response provided does not meet the grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 142

***Question 12 a.** Does the LEA assure that the accepted grant funds were allocated and used in accordance with applicable Federal and State statutes, regulations, program plans, and applications, and administration of the programs in compliance with all provisions of such statutes, regulations, applications, policies, and amendments thereto. This includes EDGAR and Uniform Grant Guidance 2 C.F.R 200.

b. Upload evidence that the expended funds are allowable and meet the intent and purpose of the grant. Evidence will include building-level fund fiscal reports showing budgeted allocations and expenditures sorted by building (OPU), Object, and Function such as Budsums.

Compliant	Pending	Technical Assistance	Non-Compliant
The evidence show that expended funds are allowable and meet grant monitoring criteria.	The evidence could show that expended funds are allowable and meet grant monitoring criteria if additional information and/or documentation can be provided.	The evidence could show that expended funds are allowable and meet grant monitoring criteria if additional information and/or documentation can be provided.	The evidence does not show that expended funds are allowable and does not meet grant monitoring criteria.

EOEC DSS Survey Questions and Rubrics 153

Grant Closeout

***Question 13:** The grantee has a current, accurate physical inventory/fixed assets policy in place. **Document upload is required if equipment and/or supplies are purchased.**

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded documentation of an accurate inventory. No issues were identified on documentation.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

EOEC DSS Survey Questions and Rubrics 164

***Question 14:** The grantee disposes of equipment and supplies in accordance with district policy and federal requirements including Uniform Guidance, 2 CFR Part 200.313. Grant Disposition Form

Compliant	Technical Assist	Non-Compliant	Pending
The district uploaded a disposition form. No issues were identified on documentation.	The Department has provided recommendations and guidance for improvements.	The Department has identified an issue that must be addressed.	The Department is requesting additional or missing information to complete the review process.

EOEC DSS Survey Questions and Rubrics 175

***Question 15:** The district has documented procurement procedures for the acquisition of property or services. Procurement procedures conform to the procurement standards identified in CFR 200.317 through 200.327

a. District Procurement Policy with most recent review date
b. The district has a definition of equipment or follows the federal definition.
c. The district maintains a Disposition Report for lost, obsolete or unusable equipment, if applicable.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged use of documented procurement procedures and maintenance of a disposition report.	The Department has provided recommendations and guidance for improvements.	An issue was identified and must be addressed.	The Department is requesting additional or missing information to complete the review process.

EOEC DSS Survey Questions and Rubrics 186

***Question 16:** An inventory review, if applicable, is completed at least once every two years along with a control system to ensure adequate safeguards for preventing loss, damage, or theft, including date(s) performed.

a. Inventory of any supplies or equipment purchased with federal funds that have a high likelihood of being lost or stolen. Inventory should include fund purchased with, location of item, serial number, model number, tag number, and date of purchase. Items inventoried should be tagged and identified with fund purchased from.
b. Acknowledge review of Equipment and Inventory guidance.
c. Acknowledge District regularly completes an inventory review.

Compliant	Technical Assist	Non-Compliant	Pending
The district acknowledged regular completion of an inventory review.	The Department has provided recommendations and guidance for improvements.	An issue was identified and must be addressed.	The Department is requesting additional or missing information to complete the review process.

EOEC DSS Survey Questions and Rubrics 197