

THE HEART OF IT ALL™

Ohio.org



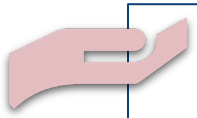
Department of Education & Workforce

OPEN OFFICE HOURS: CONSOLIDATED GRANTS MONITORING

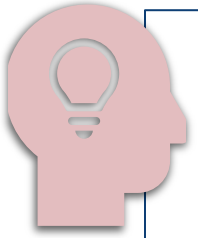
TODAY'S AGENDA

- Overview of Monitoring Process
- How to Best Prepare
- System and Demo and Walkthrough
- Additional Resources
- Q & A

PURPOSE OF MONITORING



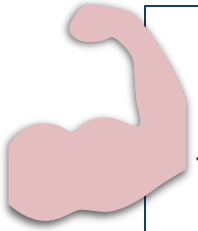
Support



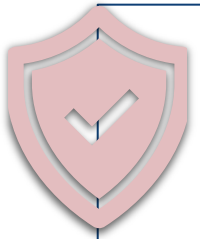
Learn



Assure



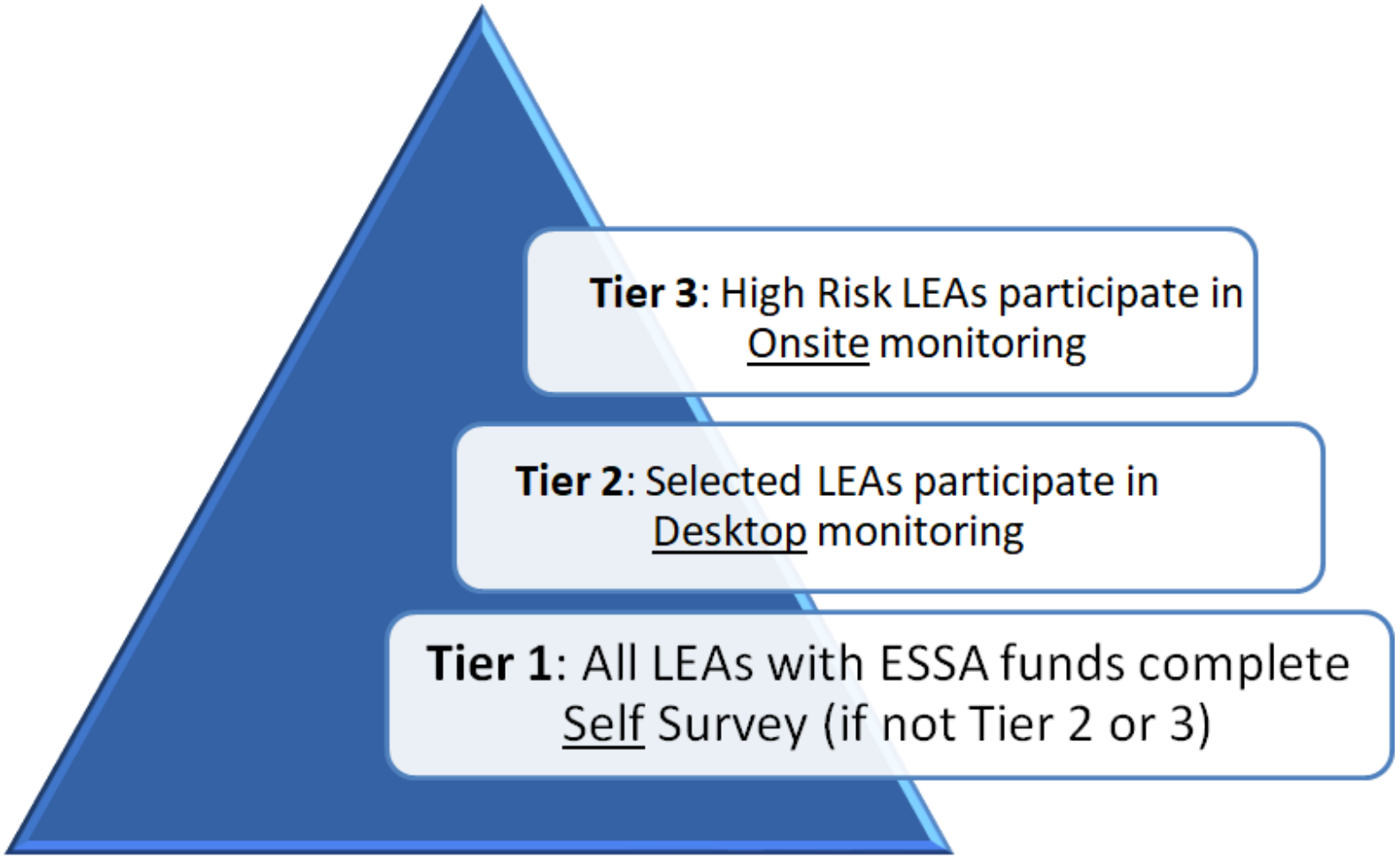
Strengthen



Protect

MONITORING TIERS

- The Office of Federal Programs uses a three-tier monitoring approach.



POLICY AND SYSTEM UPDATES

- Cohort Aligned Monitoring
  - Focusing on only monitoring those LEAs in year 2 of their planning cycle
- Monitoring of Prior Year
  - Fiscal records and evidence will be from Prior Fiscal Year
- Changes to questions
    - Narrowed and Focused Approach

RISK FACTORS



Comprehensive

Balanced Typology

Annually Completed

CONSOLIDATED ESEA MONITORING RISK FACTORS

Office of Federal Programs Compliance Risk Factors and Scores (FY25)

1. New federal program coordinator at the district level, or the listed Federal Programs Coordinator is also listed as the Superintendent or Treasurer.

10	Less than 1 year or contact information not provided on CCIP Consolidated Application - Contacts page
5	1 to 3 years, or Federal Programs Coordinator is also listed as Superintendent or Treasurer.
0	4 to 5 years
-5	6+ years

2. Failed FY23 ESEA Maintenance of Effort:

10	Failed more than once within last 5 years
5	Failed once within last 5 years (Exemption)
0	Passed MOE

TYPES OF SURVEYS/REVIEWS



Self Survey/ Tier 1

Desk Review/ Tier 2

Onsite Review/ Tier 3

WHAT TO EXPECT WHEN YOU'RE EXPECTING… A SELF-SURVEY

- A **self-survey is completed by the district only**. There is **no upload of documents or comments submitted** by the district. It is self-monitoring of the district's own practices and processes with ESEA programs. Self-surveys are due by June 30th.

Quick Self-Survey Checklist:

- ❑ Review Questions and Required Evidence for Each Item in Survey
- ❑ Meet with Team to Determine Responsibilities for Collecting Evidence
- ❑ Determine Method of Evidence Collection and Storing of Evidence
- ❑ In Monitoring System, check off items in each section as completed
- ❑ Complete by June 30th

WHAT TO EXPECT WHEN YOU'RE EXPECTING… A DESK REVIEW

- A desk review requires the district to complete survey questions and submit requested documentation and descriptions into the monitoring system.
- A virtual interview between the district and the monitoring consultant is then conducted. Districts must provide descriptions for their processes and procedures in the monitoring system and during the desk review interview.
- Interview dates are established by the monitoring consultant.

Quick Desk Review Checklist:

- ❑ Meet with district team to determine responsibilities for collecting evidence
- ❑ Answer questions and submit/upload evidence for each item in survey
- ❑ Complete desk review interview with monitoring consultant
- ❑ Complete additional steps as needed. Due dates determined by consultant

WHAT TO EXPECT WHEN YOU'RE EXPECTING… AN ONSITE REVIEW

- For an on-site review, the lead monitoring consultant and ESEA monitoring team conducts an in-person review.
- Before this occurs, the district uploads documentation into the monitoring system and answers survey questions.
- The team then conducts the onsite visit(s) and holds interviews with the district team. The monitoring team will meet to review and summarize both as a team and with the district.
- An on-site review may take one to several days. Additional steps and due dates are determined by the monitoring team.

Expect the monitoring team to visit and meet with:

- ❑ Administrative staff; Superintendent, Treasurer
- ❑ Title I served schools, principal and Title I staff
- ❑ Title I (in and out of district) non-publics
- ❑ Title I served Neglected and Delinquent facilities within the district's boundaries
- ❑ Parents/guardians
- ❑ Homeless Liaison

WHAT TO EXPECT WHEN YOU'RE EXPECTING… AN ONSITE REVIEW (CONT.)

Quick Onsite Review Checklist:

- ❑ Meet with district team to determine responsibilities for collecting evidence
- ❑ Answer questions and submit/upload evidence for each item in survey
- ❑ Communicate with monitoring consultant to schedule date(s) of on-site visit
- ❑ Plan to provide a car with driver to escort team to sites
- ❑ Communicate with Title I non-publics or Title I-N/D facilities regarding logistics
- ❑ Be ready to access and provide all data and documentation during on-site (electronic is ok!)
- ❑ Complete onsite interviews with monitoring team
- ❑ Complete additional steps as needed, due dates determined by consultant

GENERAL TIMELINE OVERVIEW

November-December	January-April	April-June
• Monitoring consultants notify districts which monitoring "type" they will receive • District will receive communication via email	• Monitoring surveys open for district access (Dec./Jan.) • Survey completion by districts • Desk and on-sites are scheduled and conducted	• Surveys should be complete • Monitoring closes 6/30

SYSTEM NAVIGATION



Navigation

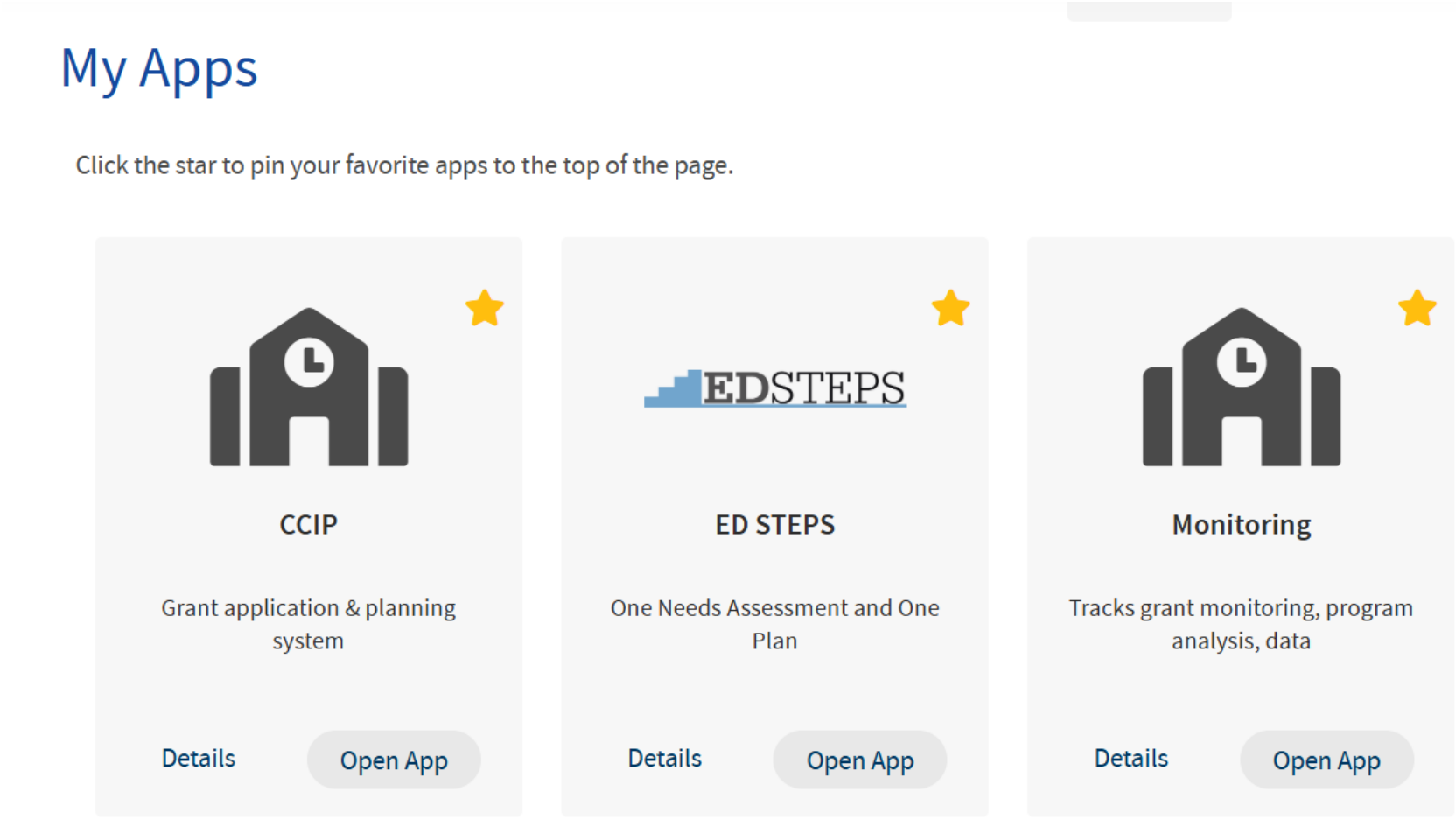
Questions

Issues and Technical Assistance

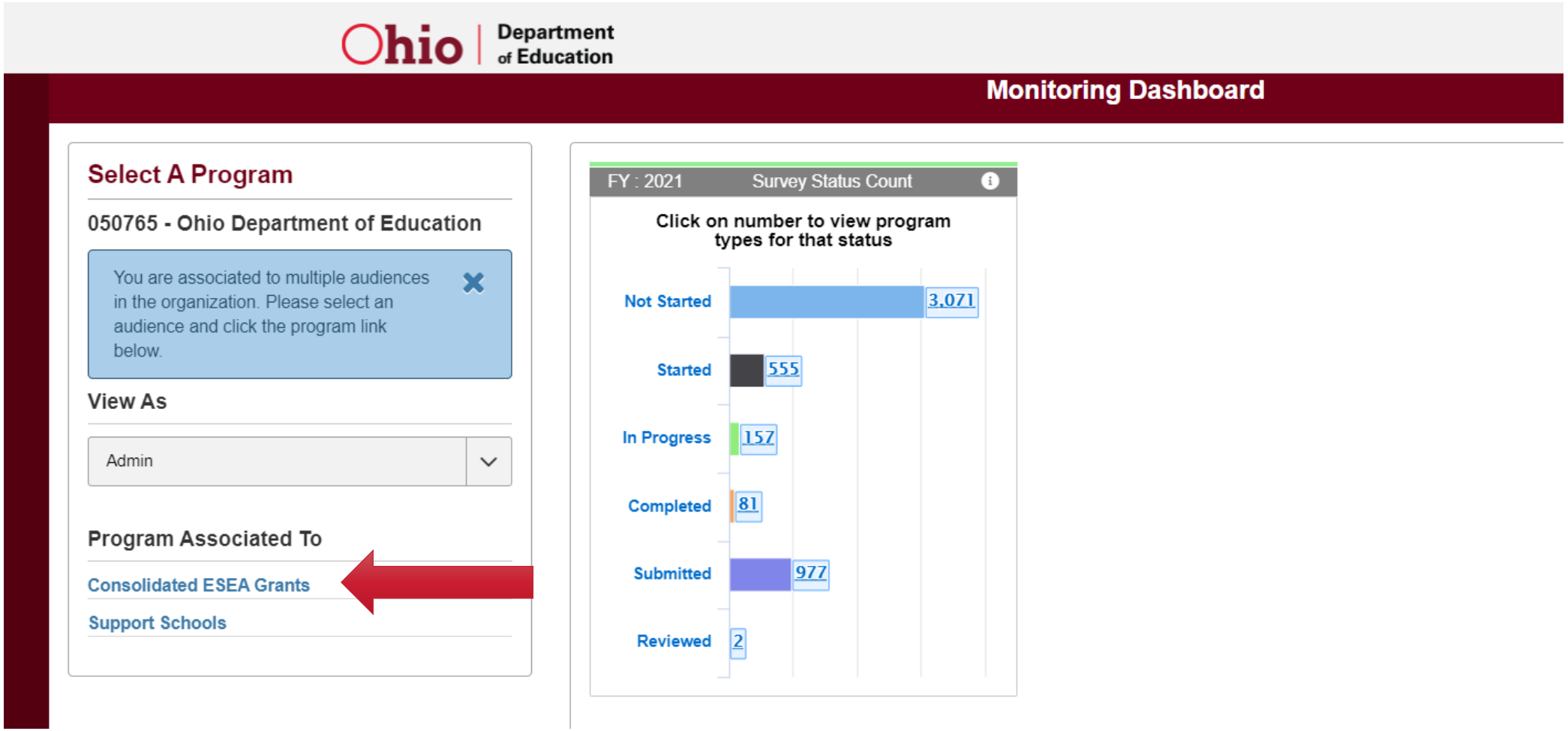
OEDS ROLES

- CCIP Authorized Representative
- CCIP Fiscal Representative
- Superintendent
- Treasurer
- Data Entry Planning – CCIP
- Data Entry Funding - CCIP

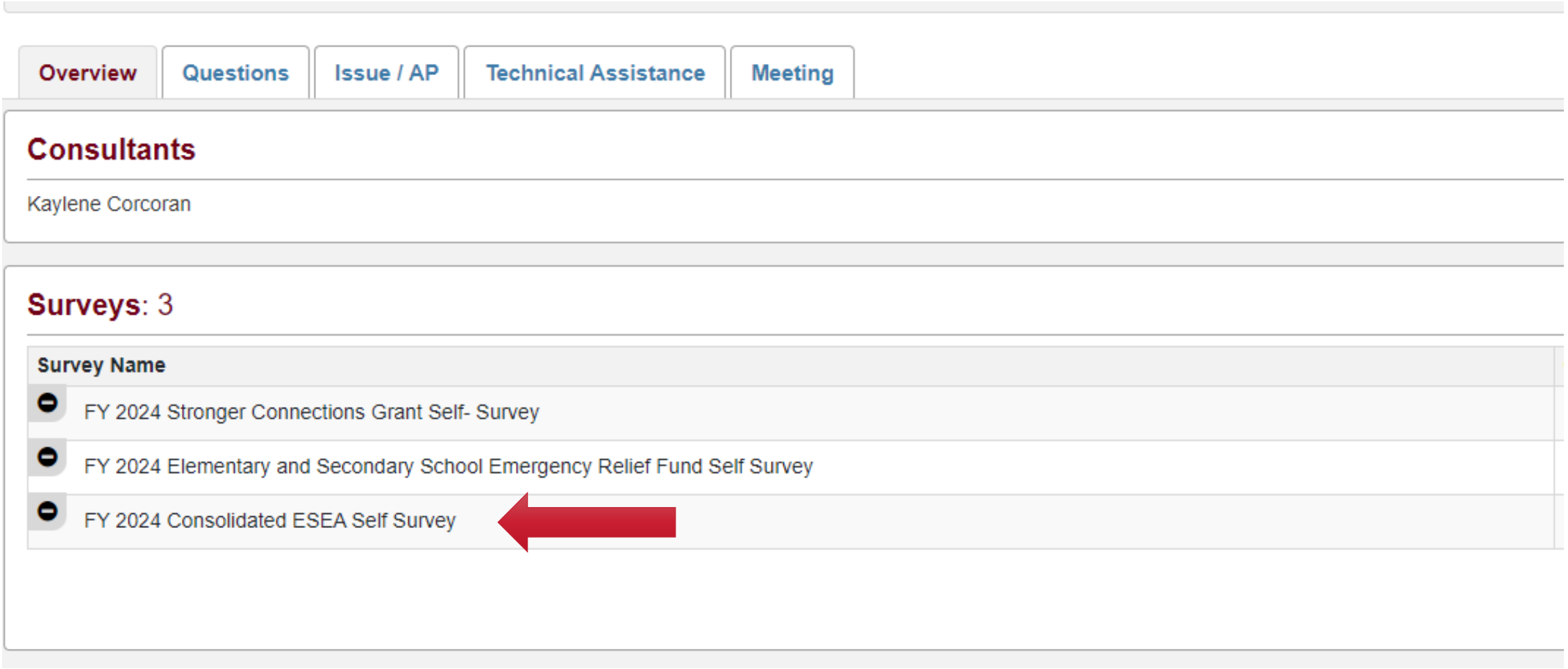
ACCESS THROUGH OH|ID



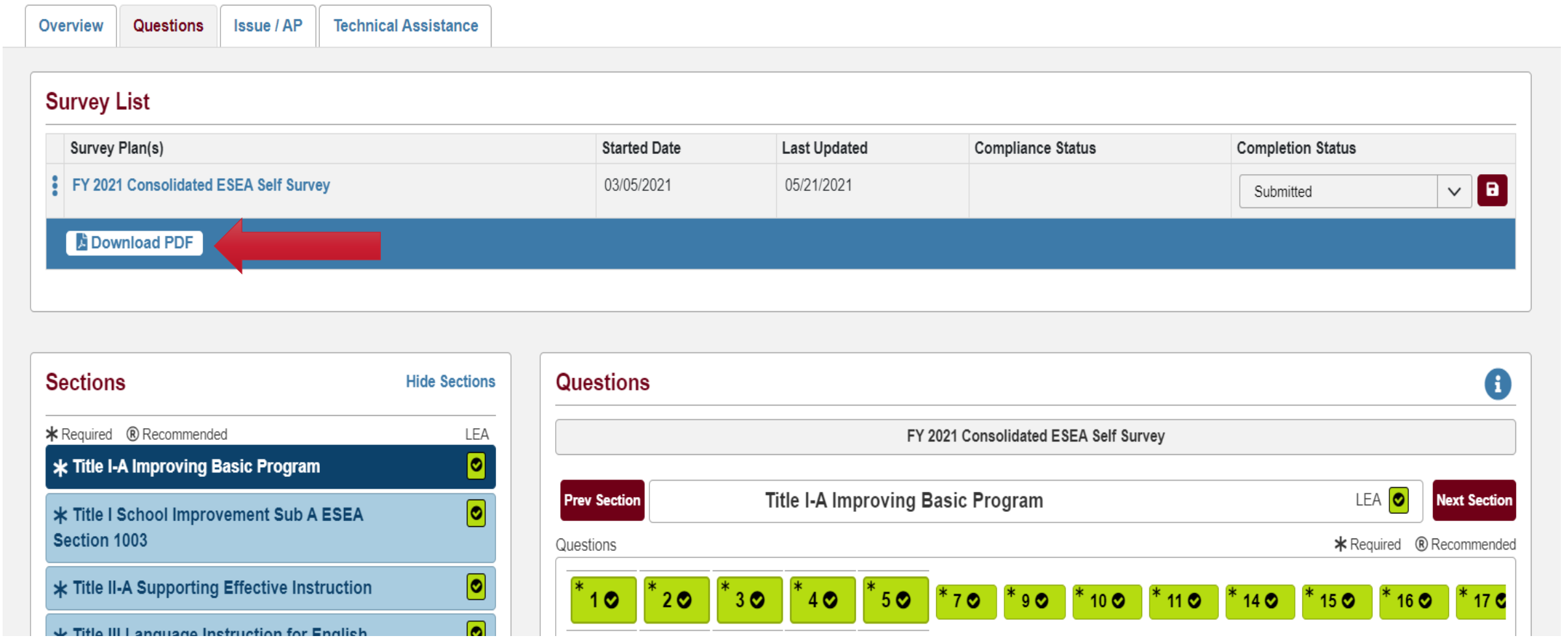
SELECT THE GRANT PROGRAM (ESEA)



SELECT THE SURVEY

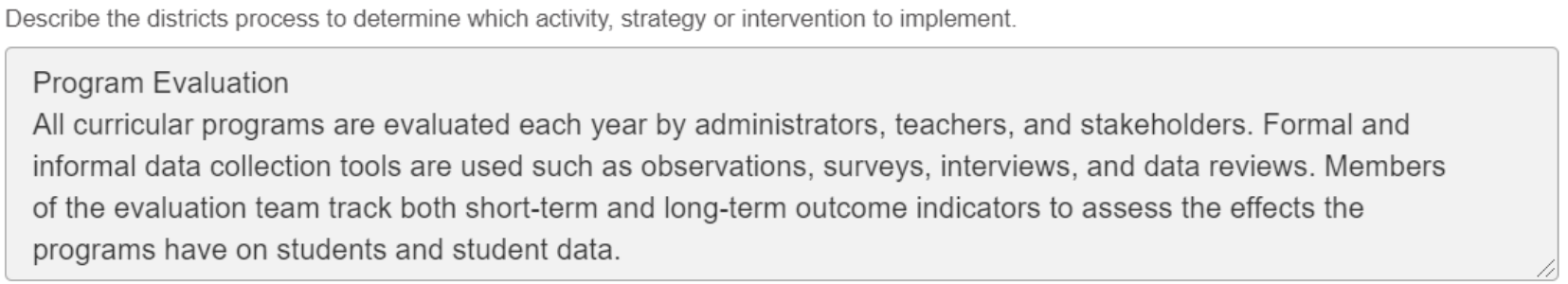


QUESTIONS TAB

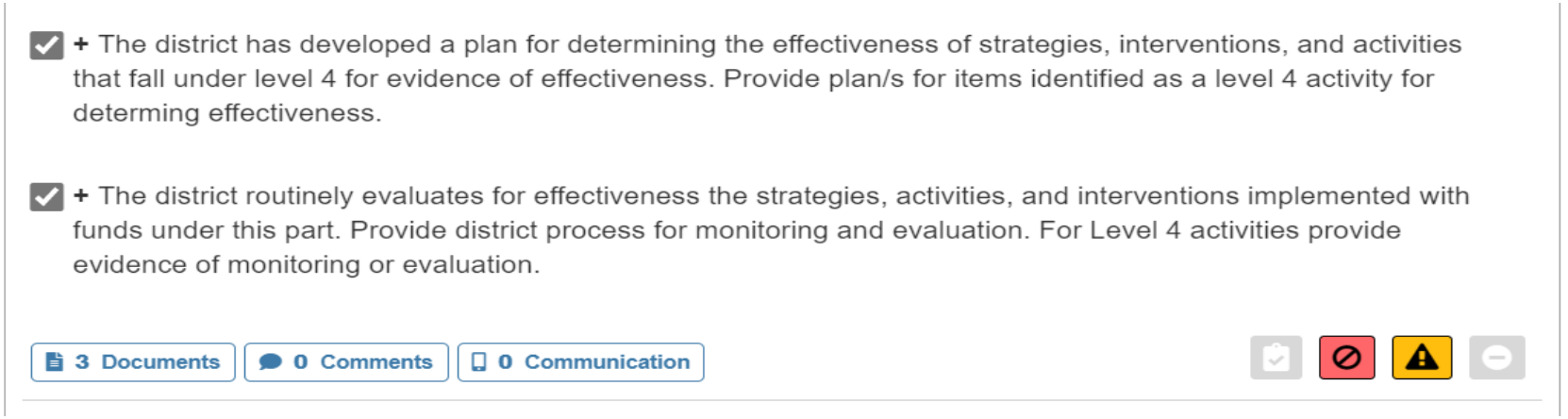


RESPONDING TO QUESTIONS

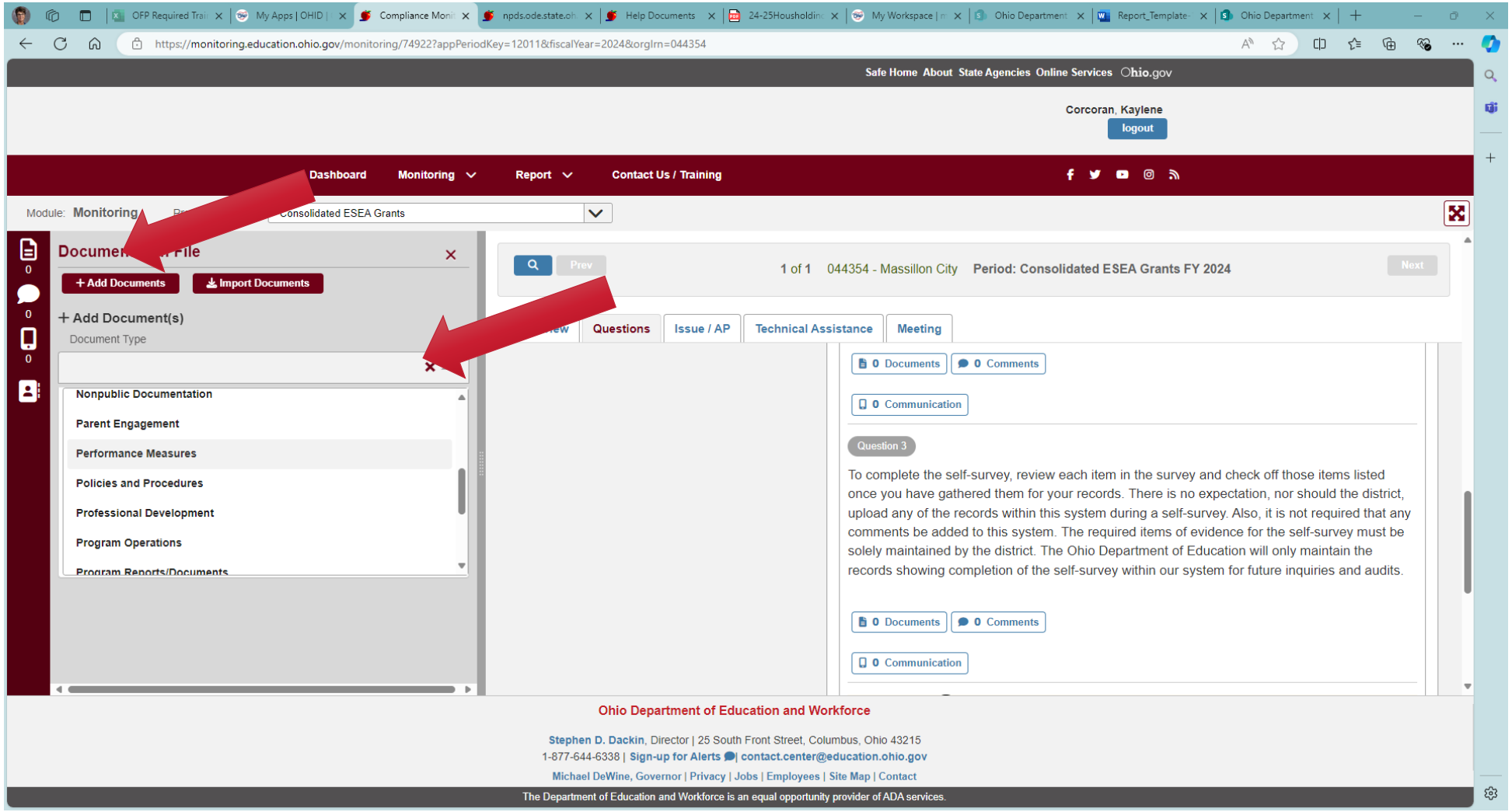
- Describe or explain: Add response to textbox provided



- Provide or upload: Upload documents to the question



UPLOAD DOCUMENTS



RESPONDING TO QUESTIONS

- Self-Survey: No documents are uploaded or question responses are required. District maintains records

- Desk or On-Site Review: All questions need to either have a comment or document upload. N/A if item does not apply, but rare.
    - System triggers questions based on district information.

TECHNICAL ASSISTANCE VS ISSUE

Description	Technical Assistance	Issue
Determination	Minor concern. District usually has evidence, but missing an item in evidence	Concern that requires an action. No evidence, not an allowable activity, missing major elements in evidence
Support	Consultation is provided	Consultation is Provided
District Action	Discuss changes that need to be made with consultant and how best to achieve. Documented in system with technical assistance note.	Consultant makes recommendations in system. District must provide a corrective action plan in the system within 30 days.
New Evidence Required	No further actions needed	Must upload evidence demonstrating compliance end date
Next Year's Survey Consequence	No effect on next year's survey list	Automatically has a follow-up desk review on item identified.

PREPARING YOUR SCHOOL FOR SUCCESS

- Begin identifying your district monitoring team now!
- Decide who is responsible for:
  - ✓Gathering and entering data
    - Programmatic
    - Fiscal
  - ✓Submitting answers/documentation in the system
  - ✓Communicating with other staff as needed
  - ✓Communicating with DEW monitoring consultant
- Utilize forms that can be updated annually
- Remember to maintain records for minimum of 7 years

FINAL REMINDERS

- Non-Public Equitable Services
  - Consultation
  - Spending the Funds
  - Service Delivery
- Licensure/Qualifications of Federally Funded Staff
- Parent Engagement Requirements
- Title III – EL Evaluation

CONTACT INFORMATION

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- General Inbox:
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QUESTIONS?

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